

# SECURITY PARTICIPATION SURVEY

To ensure compliance with the Customs Modernization Act and obtain the most accurate information about our business partners, Maribel International has prepared a questionnaire which should be completed, signed by an officer of the company and returned to us.

Legal name of company: \_\_\_\_\_

Address: \_\_\_\_\_

Please indicate any of the following Customs-Industry Partnership Programs in which you participate.

Customs - Trade Partnership Against Terrorism (CTPAT) Yes  No

CTPAT Account # \_\_\_\_\_ SVI # \_\_\_\_\_  
(case sensitive)

Other similar programs (AEO, PIP, etc.): \_\_\_\_\_  
(program name)

Carrier Initiative Program (CIP) Yes  No

Super Carrier Initiative Program (SCIP) Yes  No

Business Anti-Smuggling Coalition (BASC) Yes  No

## SUPPLY CHAIN SECURITY:

Even for business partners not currently participating or not eligible, Maribel International requires your company demonstrate it is following CTPAT guidelines to enhance the integrity of shipments from the point of origin to destination.

Do you intend to apply directly for CTPAT recognition and implement a sound plan to enhance security procedures throughout your supply chain? Yes  No

Is purchasing personnel or the individuals in your company responsible for purchasing aware of the U. S. Bureau of Customs and Border Protection security initiative, Customs Trade Partnership Against Terrorism (CTPAT)? Yes  No

Does your company conduct a pre-employment screening and interview of prospective employees which includes periodic background checks? Yes  No

- Are there controls in place for positive identification of all employees, visitors and vendors? Yes  No
- Are there internal controls for selection of service providers requiring standards for physical and procedural security? Yes  No
- Do you have procedures to ensure information received from business partners is reported accurately? Yes  No
- Are written, risk based processes in place to screen new and existing business partners which include checks on financial soundness and for any suspicious activities possibly related to trade-based money laundering and terrorist financing? Yes  No
- Are measures in place to protect computers, networks, programs and data from unintended or unauthorized access, change or destruction? Yes  No
- Is your supplier known to you and responsible for security of your cargo from overseas? Yes  No
- Do you and/or your supplier arrange transportation with carriers participating in CTPAT? Yes  No
- Do your suppliers secure their facilities, manufacturing and/or warehousing facilities, as well as provide security for their employees by securing the perimeters fences and installing locking devices on external and internal doors, windows, etc.? Yes  No
- If you are related to your supplier, does the supplier provide and have in place a security program to prevent interdiction of weapons of mass destruction? Yes  No
- Do you intend to put in place a security awareness program to train your employees and suppliers to detect internal conspiracies, maintain cargo integrity and properly address and report unauthorized access? Yes  No
- Are procedures in place to protect against unmanifested material entering the warehouse? Yes  No
- Are your documents checked for weight, quantity and value discrepancies? Yes  No

Are shortages and overages reported? Yes  No

Is personnel trained to contact Customs at 1-800-BE ALERT (1-800-232-5378) and/or other law enforcement agencies when anomalies or illegal activities related to security are detected? Yes  No

Do you verify that container numbers and seal numbers are accurate? Yes  No

Do you have procedures in place for containers to be locked and stored securely and how seals are controlled and affixed to loaded containers? Yes  No

Do you have procedures in place to report compromised seals? Yes  No

Do your truckers utilize a GPS system to track location of the trucks/containers? Yes  No

Signature (Officer) \_\_\_\_\_

\_\_\_\_\_  
Print Name/Title

Date \_\_\_\_\_

Upon completion, please email [Marissa@maribeliff.com](mailto:Marissa@maribeliff.com) or Fax (718) 949-0662.

Thank you for your cooperation in completing this survey.

**MARIBEL INTERNATIONAL**